

## Preliminary Exam - Dynamical Systems (Fall 2001)

Do 7 out of the following first 8 problems

**Problem 1.** Describe the chain recurrent set of the function  $f : R \rightarrow R$  given by  $f(x) = 10x(1-x)$ . Include a description of the dynamics of  $f$  restricted to the chain recurrent set and prove that this description is correct.

**Problem 2.** State the shadowing lemma. Consider the function  $f : S^1 \rightarrow S^1$  given by  $f(z) = z^3$  where  $z$  is a complex number of modulus 1. Suppose  $\varepsilon = 1/100$  and  $\{y_n\}_{n=-\infty}^{\infty} \subset S^1$  satisfies  $|f(y_n) - y_{n+1}| < \varepsilon$ . Prove there is a sequence  $\{x_n\}_{n=-\infty}^{\infty}$  such that  $f(x_n) = x_{n+1}$  and  $|x_n - y_n| < \varepsilon$ .

**Problem 3.** Define  $\sigma : \Sigma_n \rightarrow \Sigma_n$ , the full shift on  $n$  symbols.

1. Prove that the periodic points of  $\sigma$  are dense in  $\Sigma_n$ .
2. Prove that  $\sigma$  has a point with a dense forward orbit.
3. Prove that  $\sigma^k$  is topologically conjugate to  $\sigma$  if and only if  $k = \pm 1$ .

**Problem 4.** Let  $f : X \rightarrow X$  be a homeomorphism on a connected compact metric space  $X$ . Show that

- (a).  $f$  is topologically transitive if and only if every  $f$  invariant continuous function is a constant function.
- (b).  $f$  is ergodic with respect to some invariant measure  $\mu$  if and only if every  $f$  invariant integrable function (with respect to  $\mu$ ) is constant a.e. ( $\mu$ ).

**Problem 5.**

Let  $f$  be an anosov diffeomorphism on a compact manifold  $M$  and let  $TM = E^s \oplus E^u$  be the continuous,  $f$ -invariant splitting. Assume that a diffeomorphism  $g$  of  $M$  commutes with  $f$ , i.e.,  $f \cdot g = g \cdot f$ . Show that  $g$  preserves both  $E^s$  and  $E^u$ .

**Problem 6.**

Let  $S \subset \Sigma_2$  be a subset of bi-infinite symbol sequences of 0 and 1s such that if  $s = \{s_i\}_{i \in \mathbb{Z}} \in S$  and  $s_i = 1$  then  $s_{i+1} = s_{i+2} = 0$ , i.e., every symbol 1 has to be followed by at least two 0s. Find the topological entropy of  $\sigma : S \rightarrow S$ .

**Problem 7.**

Let  $f$  be an orientation-preserving circle homeomorphism and  $\tilde{f}$  be a lift of  $f$ . Show that for every irrational number  $\alpha$ , there is a unique  $c$  such that rotation number  $\rho(\tilde{f} + c) = \alpha$ .

**Problem 8.**

Consider Hamiltonian function  $H(p_1, p_2, \dots, p_n; q_1, q_2, \dots, q_n) = \frac{1}{2}(p_1^2 + \dots + p_n^2) + V(q_1, \dots, q_n)$ . Assume that  $q_1 = \dots = q_n = 0$  is a nondegenerate maximum for  $V$ . Show that the origin is a hyperbolic saddle point for the Hamiltonian flow.

**Optional**

Let  $f$  be diffeomorphism of a compact, connected manifold  $M$  and let  $\Lambda$  be a closed hyperbolic invariant set for  $f$ . Assume that  $\Lambda$  contains a nonempty interior. Show that  $\Lambda = M$ , i.e.,  $f$  is Anosov.