

**Random Complex Geometry,**

**or**

**How to count universes in string theory.**

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**Joint work with M. R. Douglas and B. Shiffman**

**Also joint work with P. Bleher**

## Our topics

- Random complex geometry–

How are zeros or critical points of random holomorphic functions (or sections) distributed?

- Counting universes in string/M theory–

‘Universes’ = ‘vacua’ of string/M theory = critical points of ‘superpotentials’ on the moduli space of Calabi-Yau manifolds. How many vacua are there? How are they distributed?

## Outline of talk

1. Random polynomials of one complex variable: classical and recent results. Generalization to holomorphic sections of line bundles.
2. String/M vacuum selection problem: Douglas' statistics of vacua program. Rigorous results on counting possible universes = string/M vacua.
3. Geometric problems on critical points of holomorphic sections relative to a hermitian metric or connection.

# Random polynomials of one variable

A polynomial of degree  $N$  in one complex variable is:

$$f(z) = \sum_{j=1}^N c_j z^j, \quad c_j \in \mathbb{C}$$

is specified by its coefficients  $\{c_j\}$ .

A 'random' polynomial is short for a probability measure  $P$  on the coefficients. Let

$$\begin{aligned} \mathcal{P}_N^{(1)} &= \left\{ \sum_{j=1}^N c_j z^j, (c_1, \dots, c_N) \in \mathbb{C}^N \right\} \\ &\simeq \mathbb{C}^N. \end{aligned}$$

Endow  $\mathbb{C}^N$  with probability measure  $dP$ .

We call  $(\mathcal{P}_N^{(1)}, P)$  an 'ensemble' of random polynomials.

## Kac polynomials

The simplest complex random polynomial is the ‘Kac polynomial’

$$f(z) = \sum_{j=1}^N c_j z^j$$

where the coefficients  $c_j$  are independent complex Gaussian random variables of mean zero and variance one. Complex Gaussian:

$$\mathbf{E}(c_j) = 0 = E(c_j c_k), \quad E(c_j \bar{c}_k) = \delta_{jk}.$$

This defines a Gaussian measure  $\gamma_{KAC}$  on  $\mathcal{P}_N^{(1)}$ :

$$d\gamma_{KAC}(f) = e^{-|c|^2/2} dc.$$

## Expected distribution of zeros

The distribution of zeros of a polynomial of degree  $N$  is the probability measure on  $\mathbb{C}$  defined by

$$Z_f = \frac{1}{N} \sum_{z:f(z)=0} \delta_z,$$

where  $\delta_z$  is the Dirac delta-function at  $z$ .

*Definition:* The expected distribution of zeros of random polynomials of degree  $N$  with measure  $P$  is the probability measure  $\mathbf{E}_P Z_f$  on  $\mathbb{C}$  defined by

$$\langle \mathbf{E}_P Z_f, \varphi \rangle = \int_{\mathcal{P}_N^{(1)}} \left\{ \frac{1}{N} \sum_{z:f(z)=0} \varphi(z) \right\} dP(f),$$

for  $\varphi \in C_c(\mathbb{C})$ .

## How are zeros of complex Kac polynomials distributed?

Complex zeros concentrate in small annuli around the unit circle  $S^1$ . In the limit as the degree  $N \rightarrow \infty$ , the zeros asymptotically concentrate exactly on  $S^1$ :

**Theorem 1 (Kac-Hammersley-Shepp-Vanderbei)**  
*The expected distribution of zeros of polynomials of degree  $N$  in the Kac ensemble has the asymptotics:*

$$\mathbf{E}_{KAC}^N(Z_f^N) \rightarrow \delta_{S^1} \quad \text{as } N \rightarrow \infty ,$$

$$\text{where } (\delta_{S^1}, \varphi) := \frac{1}{2\pi} \int_{S^1} \varphi(e^{i\theta}) d\theta.$$

## Why the unit circle?

Do zeros of polynomials *really* tend to concentrate on  $S^1$ ?

Answer: yes, for the polynomials which dominate the Kac measure  $d\gamma_{KAC}^N$ . (Obviously no for general polynomials)

The Kac-Hammersley-Shepp-Vanderbei measure  $\gamma_{KCA}^N$  weights polynomials with zeros near  $S^1$  more than other polynomials.

It did this by an implicit choice of inner product on  $\mathcal{P}_N^{(1)}$ .

## Gaussian measure and inner product

Choice of Gaussian measure on a vector space  $\mathcal{H}$  = choice of inner product on  $\mathcal{H}$ .

The inner product induces an orthonormal basis  $\{S_j\}$ . The associated Gaussian measure  $d\gamma$  corresponds to random orthogonal sums

$$S = \sum_{j=1}^d c_j S_j,$$

where  $\{c_j\}$  are independent complex normal random variables.

The inner product underlying the Kac measure on  $\mathcal{P}_N^{(1)}$  makes the basis  $\{z^j\}$  orthonormal. Namely, they were orthonormalized on  $S^1$ . And that is where the zeros concentrated.

## Gaussian random polynomials adapted to domains

If we orthonormalize polynomials on the boundary  $\partial\Omega$  of any simply connected, bounded domain  $\Omega \subset \mathbb{C}$ , the zeros of the associated random polynomials concentrate on  $\partial\Omega$ .

I.e. define the inner product on  $\mathcal{P}_N^{(1)}$  by

$$\langle f, \bar{g} \rangle_{\partial\Omega} := \int_{\partial\Omega} f(z) \overline{g(z)} |dz| .$$

Let  $\gamma_{\partial\Omega}^N =$  the Gaussian measure induced by  $\langle f, \bar{g} \rangle_{\partial\Omega}$  and say that the Gaussian measure is adapted to  $\Omega$ .

How do zeros of random polynomials adapted to  $\Omega$  concentrate?

## Equilibrium distribution of zeros

Denote the expectation relative to the ensemble  $(\mathcal{P}_N, \gamma_{\partial\Omega}^N)$  by  $\mathbf{E}_{\partial\Omega}^N$ .

Theorem 2

$$\mathbf{E}_{\partial\Omega}^N(Z_f^N) = \nu_{\Omega} + O(1/N) ,$$

where  $\nu_{\Omega}$  is the equilibrium measure of  $\bar{\Omega}$ .

The equilibrium measure of a compact set  $K$  is the unique probability measure  $d\nu_K$  which minimizes the energy

$$E(\mu) = - \int_K \int_K \log |z - w| d\mu(z) d\mu(w).$$

Thus, in the limit as the degree  $N \rightarrow \infty$ , random polynomials adapted to  $\Omega$  act like electric charges in  $\Omega$ .

## $SU(2)$ polynomials

Is there an inner product in which the expected distribution of zeros is ‘uniform’ on  $\mathbb{C}$ , i.e. doesn’t concentrate anywhere? Yes, if we take ‘uniform’ to mean uniform on  $\mathbb{CP}^1$  w.r.t. Fubini-Study area form  $\omega_{FS}$ .

We define an inner product on  $\mathcal{P}_N^{(1)}$  which depends on  $N$ :

$$\langle z^j, z^k \rangle_N = \frac{1}{\binom{N}{j}} \delta_{jk}.$$

Thus, a random  $SU(2)$  polynomial has the form

$$f = \sum_{|\alpha| \leq N} \lambda_\alpha \sqrt{\binom{N}{\alpha}} z^\alpha,$$

$$\mathbf{E}(\lambda_\alpha) = 0, \quad \mathbf{E}(\lambda_\alpha \bar{\lambda}_\beta) = \delta_{\alpha\beta}.$$

**Proposition 3** *In the  $SU(2)$  ensemble,  $\mathbf{E}(Z_f) = \omega_{FS}$ , the Fubini-Study area form on  $\mathbb{CP}^1$ .*

## $SU(2)$ and holomorphic line bundles

Proof that  $\mathbf{E}(Z_f) = \omega_{FS}$  is trivial if we make right identifications:

- $\mathcal{P}_N^{(1)} \simeq H^0(\mathbb{CP}^1, \mathcal{O}(N))$  where  $\mathcal{O}(N) = N$ th power of the hyperplane section bundle  $\mathcal{O}(1) \rightarrow \mathbb{CP}^1$ . Indeed,  $\mathcal{P}_N^{(1)} \iff$  homogeneous polynomials  $F(z_0, z_1)$  of degree  $N$ : homogenize  $f(z) \in \mathcal{P}_N^{(1)}$  to  $F(z_0, z_1) = z_0^N f(z_1/z_0)$ . Also  $H^0(\mathbb{CP}^1, \mathcal{O}(N)) \iff$  homogeneous polynomials  $F(z_0, z_1)$  of degree  $N$ .
- Fubini-Study inner product on  $H^0(\mathbb{CP}^1, \mathcal{O}(N)) =$  inner product  $\int_{S^3} |F(z_0, z_1)|^2 dV$  on the homogeneous polynomials.
- The inner product and Gaussian ensemble are thus  $SU(2)$  invariant. Hence,  $\mathbf{E} Z_f$  is  $SU(2)$ -invariant.

## Gaussian random holomorphic sections of line bundles

The  $SU(2)$  ensemble generalizes to all dimensions, and moreover to any positive holomorphic line bundle  $L \rightarrow M$  over any Kähler manifold.

We endow  $L$  with a Hermitian metric  $h$  and  $M$  with a volume form  $dV$ . We define an inner product

$$\langle s_1, s_2 \rangle = \int_M h(s_1(z), s_2(z)) dV(z).$$

We let  $\{S_j\}$  denote an orthonormal basis of the space  $H^0(M, L)$  of holomorphic sections of  $L$ .

Then define Gaussian holomorphic sections  $s \in H^0(M, L)$  by

$$s = \sum_j c_j S_j, \quad \langle S_j, S_k \rangle = \delta_{jk}$$

with  $\mathbf{E}(c_j) = 0 = \mathbf{E}(c_j c_k)$ ,  $\mathbf{E}(c_j \bar{c}_k) = \delta_{jk}$ .

## Statistics of critical points

Algebraic geometers are interested in zeros of holomorphic sections. But from now on we focus on critical points

$$\nabla s(z) = 0,$$

where  $\nabla$  is a metric connection.

Critical points of Gaussian random functions come up in many areas of physics—

- as peak points of signals (S.O. Rice, 1945);
- as vacua in compactifications of string/M theory on Calabi-Yau manifolds with flux (Giddings-Kachru-Polchinski, Gukov-Vafa-Witten);
- as extremal black holes (Strominger, Ferrara-Gibbons-Kallosch) , peak points of galaxy distributions (Szalay et al, Zeldovich), etc.

## Critical points

*Definition:* Let  $(L, h) \rightarrow M$  be a Hermitian holomorphic line bundle over a complex manifold  $M$ , and let  $\nabla = \nabla_h$  be its Chern connection.

A critical point of a holomorphic section  $s \in H^0(M, L)$  is defined to be a point  $z \in M$  where  $\nabla s(z) = 0$ , or equivalently,  $\nabla' s(z) = 0$ .

In a local frame  $e$  critical point equation for  $s = fe$  reads:

$$\partial f(w) + f(w)\partial K(w) = 0,$$

where  $K = -\log \|e(z)\|_h$ .

The critical point equation is only  $C^\infty$  and not holomorphic since  $K$  is not holomorphic.

## Statistics of critical points

The distribution of critical points of  $s \in H^0(M, L)$  with respect to  $h$  (or  $\nabla_h$ ) is the measure on  $M$

$$(1) \quad C_s^h := \sum_{z: \nabla_h s(z)=0} \delta_z.$$

**Further introduce a measure  $\gamma$  on  $H^0(M, L)$ .**

*Definition:* The (expected) distribution  $\mathbf{E}_\gamma C_s^h$  of critical points of  $s \in H^0(M, L)$  w.r.t.  $\nabla_h$  and  $\gamma$  is the measure on  $M$  defined by

$$\langle \mathbf{E}_\gamma C_s^h, \varphi \rangle := \int_{H^0(M, L)} \left[ \sum_{z: \nabla_h s(z)=0} \varphi(z) \right] d\gamma(s).$$

The expected number of critical points is defined by

$$\mathcal{N}^{crit}(h, \gamma) = \int_{\mathcal{S}} \#Crit(s, h) d\gamma(s).$$

## Problems of interest

1. Calculate  $\mathbf{E}_\gamma C_s^h$ . How are critical points distributed? (Deeper: how are they correlated?)
2. How large is  $\mathcal{N}^{\text{crit}}(h, \gamma)$ ? How does the expected number of critical points depend on the metric?
3. The 'best' metrics are the ones which minimize this quantity. Which are they?

## The vacuum selection problem in string/M theory

These problems have applications to string/M theory.

According to string/M theory, our universe is 10- (or 11-) dimensional. In the simplest model, it has the form  $M^{3,1} \times X$  where  $X$  is a complex 3-dimensional *Calabi-Yau* manifold.

The **vacuum selection problem**: Which  $X$  forms the 'small' or 'extra' dimensions of our universe? How to select the right vacuum?

# Complex geometry and effective supergravity

The low energy approximation to string/M theory is effective supergravity theory. It consists of  $(\mathcal{M}, \mathcal{L}, W)$  where:

1.  $\mathcal{M} = \mathcal{M}_{\mathbb{C}} \times \mathcal{H}$ , where  $\mathcal{M}_{\mathbb{C}} =$  moduli space of Calabi-Yau metrics on a complex 3-D manifold  $X$ ,  $\mathcal{H} =$  upper half plane;
2.  $\mathcal{L} \rightarrow \mathcal{M}$  is a holomorphic line bundle with first Chern class  $c_1(\mathcal{L}) = -\omega_{WP}$  (Weil-Petersson Kähler form).
3. the “superpotential”  $W$  is a holomorphic section of  $\mathcal{L}$ .

## Hodge bundle and line bundle $\mathcal{L}$

Given a complex structure  $z$  on  $X$ , let  $H^{3,0}(X_z)$  be the space of holomorphic  $(3, 0)$  forms on  $X$ , i.e. type  $dw_1 \wedge dw_2 \wedge dw_3$ .

On a Calabi-Yau 3-fold,  $\dim H^{3,0}(X_z) = 1$ . Hence,  $H^{3,0}(X_z) \rightarrow \mathcal{M}$  is a (holomorphic) line bundle, known as the Hodge bundle. We write a local frame as  $\Omega_z$ .

$\mathcal{L}$  is the dual line bundle to the Hodge bundle.

(Similarly for  $\mathcal{H}$  factor).

# Lattice of integral flux superpotentials

Physically relevant sections correspond to integral co-cycles ('fluxes')

$$G = F + iH \in H^3(X, \mathbb{Z} \oplus \sqrt{-1}\mathbb{Z}).$$

Such a  $G$  defines a section  $W_G$  of  $\mathcal{L} \rightarrow \mathcal{M}$  by:

$$\langle W_G(z, \tau), \Omega_z \rangle = \int_X [F + \tau H] \wedge \Omega_z.$$

Thus,  $G \rightarrow W_G$  maps

$$H^3(X, \mathbb{Z} \oplus \sqrt{-1}\mathbb{Z}) \rightarrow H^0(\mathcal{M}, \mathcal{L}).$$

Let  $\mathcal{F}_{\mathbb{Z}} = \{W_G : G \in H^3(X, \mathbb{Z} \oplus \sqrt{-1}\mathbb{Z})\}$ . Also let  $\mathcal{F} = \mathcal{F}_{\mathbb{Z}} \otimes \mathbb{C}$  (flux space).

## Possible universes as critical points

A possible universe or vacuum is a Calabi-Yau 3-fold  $X_z$  with complex structure  $z$  and  $\tau \in \mathcal{H}$  s.th.

$$\nabla W_G(z, \tau) = 0,$$

$$\iff \nabla_{\tau, z} \int_X [F + \tau H] \wedge \Omega_z = 0 \quad (z, \tau) \in \mathcal{M}$$

for some  $G = F + iH \in H^0(X, \mathbb{Z} \oplus i\mathbb{Z})$ : Moreover, the Hessian must be positive definite.

Here,  $\nabla = \nabla_{WP}$  is the Weil-Petersson covariant derivative on  $H^0(\mathcal{M}, \mathcal{L})$  arising from  $\omega_{WP}$ .

## More on critical points

1. Solutions of  $\nabla(G + \tau H) = 0$  are actually supersymmetric vacua. General vacua are critical points of the potential energy  $V(\tau) = \|\nabla W(\tau)\|^2 - 3\|W(\tau)\|^2$ .
2. The critical point equation for is equivalent to: find  $(z, \tau)$  s.th.

$$G^{0,3} = G^{2,1} = 0$$

in the Hodge decomposition

$$H^3(X, \mathbb{C}) = H_z^{3,0} \oplus H_z^{2,1} \oplus H_z^{1,2} \oplus H_z^{0,3}.$$

## Tadpole constraint

There is one more constraint on the flux superpotentials, called the tadpole constraint. It has the form:

$$(2) \quad \int_X F \wedge H \leq L \iff Q[F + iH] \leq L$$

where  $Q$  is the indefinite quadratic form on  $H^3(X, \mathbb{C})$  defined by

$$Q(\varphi_1, \varphi_2) = \int_X \varphi_1 \wedge \bar{\varphi}_2.$$

Since  $Q$  is indefinite, the relevant superpotentials are lattice points in the hyperbolic shell (2).

## **M. R. Douglas' statistical program (studied with Ashok, Denef, Shiffman, Z and others)**

1. Count the number of critical points (better: local minima) of all integral flux superpotentials  $W_G$  with  $Q[G] \leq L$ .
2. Find out how they are distributed in  $\mathcal{M}$ .
3. How many are consistent with the standard model and the known cosmological constant?

## Mathematical problem

Given  $L > 0$ , consider lattice points

$$G = F + iH \in H^3(X, \mathbb{Z} \oplus \sqrt{-1}\mathbb{Z})$$

in the hyperbolic shell

$$0 \leq Q[G] \leq L.$$

Let  $\mathcal{K} \subset \mathcal{M}$  be a compact subset of moduli space. Count number of critical points in  $\mathcal{K}$  for  $G$  in shell:

$$\mathcal{N}_{\mathcal{K}}^{\text{crit}}(L) = \sum_{Q[G] \leq L} \#\{(z, \tau) \in \mathcal{K} : \nabla W_G(z, \tau) = 0\}.$$

**Problem** Determine  $\mathcal{N}_{\mathcal{K}}^{\text{crit}}(L)$  where  $L$  is the tadpole number of the model. Easier: determine its asymptotics as  $L \rightarrow \infty$ .

# Distribution of moduli of universes?

More generally, define

$$N_\psi(L) = \sum_{G \in H^3(X, \mathbb{Z} \oplus \sqrt{-1}\mathbb{Z}) : H[G] \leq L} \langle C_G, \psi \rangle,$$

where

$$\langle C_G, \psi \rangle = \sum_{(z, \tau) : \nabla G(z, \tau) = 0} \psi(G, z, \tau).$$

Here,  $\psi(G, z, \tau)$  is a smooth function with compact support in  $(z, \tau) \in \mathcal{M}$  and polynomial growth in  $G$ .  $\square$

Example: Cosmological constant  $\psi(G, z, \tau) = \{|\nabla W_G(\tau, z)|^2 - 3|W_G(\tau, z)|^2\} \chi(z, \tau)$ , with  $\chi \in C_0^\infty(\mathcal{M})$ .

**Problem** Find  $N_\psi(L)$  as  $L \rightarrow \infty$ .

## Radial projection of lattice points

Since  $\langle C_G, \psi \rangle$  is homogeneous of degree 0 in  $G$ , one can radially project  $G$  to the hyperboloid  $Q[G] = 1$ .

Similar to model problem: take an indefinite quadratic form  $Q$  and consider lattice points inside a hyperbolic shell  $0 \leq Q \leq L$  which lie inside a proper subcone of the lightcone  $Q = 0$ . Project onto the hyperboloid  $Q = 1$  and measure equidistribution.

[Easier: do it for an ellipsoid].

Critical points of each  $G$  give an additional feature.

## Discriminant variety/mass matrix

A nasty complication is the *real discriminant hypersurface*  $\mathcal{D}$  of  $W \in \mathcal{F}$  which have degenerate critical points, i.e the Hessian  $D\nabla W(\tau)$  is degenerate. The number of critical points and  $\langle C_W, \psi \rangle$  jump across  $\mathcal{D}$ . So we are not summing a smooth function over lattice points.

But: the Hessian of a superpotential at a critical point is the ‘mass matrix’ and no massless fermions are observed. So it is reasonable to count vacua away from  $\mathcal{D}$ .

## Rigorous result on lattice sums

Here is a sample result on distribution of vacua:

**Theorem 4** *Suppose  $\text{Supp } \psi \cap \mathcal{D} = \emptyset$ . Then*

$$\mathcal{N}_\psi(L) = L^{2b_3} \left[ \int_{\{Q[W] \leq 1\}} \langle C_W, \psi \rangle dW + O\left(L^{-\frac{4b_3}{2b_3+1}}\right) \right]$$

*Here,  $b_3 = \dim H_3(X, \mathbb{C})$ , integral is hyperbolic shell in  $\mathcal{F}$ .*

Further results:

1. Let  $\psi = \chi_{\mathcal{K}}$ . Same principal term, but  $O(L^{2b_3-1})$  remainder.
2. Similarly if we drop assumption  $\text{Supp } \psi \cap \mathcal{D} = \emptyset$ .

## Gaussian principal term

The principal coefficient  $\int_{\{Q[W] \leq 1\}} \langle C_W, \psi \rangle dW$  can be rewritten as:

$$\int_{\mathcal{M}} \int_{\{Q_{z,\tau}[W] \leq 1\}} |\det D\nabla W(z, \tau)| \psi(W, z, \tau) dW dV(z, \tau)$$

= density of critical points of Gaussian random superpotentials in the space

$$\mathcal{F}_{z,\tau} = \{W : \nabla W(z, \tau) = 0\}$$

with inner product  $Q_{z,\tau} = Q|_{\mathcal{F}_{z,\tau}}$ .

It is Gaussian because  $Q_{z,\tau} \gg 0$  by *special geometry* of  $\mathcal{M}$ .  $dV(z, \tau)$  is a certain volume form on  $\mathcal{M}$ .

[More precisely, the ensemble is dual to it under the Laplace transform].

## Gaussian and lattice ensembles

To summarize: we can approximate the discrete ensemble of integral flux superpotentials by a Gaussian random ensemble for large  $L$ .

This shows how fundamental Gaussian ensembles are. To understand

$$\int_{\mathcal{M}} \int_{\{Q_{z,\tau}[W] \leq 1\}} |\det D\nabla W(z, \tau)| \psi(W, z, \tau) dW dV_Q(z, \tau)$$

we now turn to model Gaussian geometric problems. Even on  $\mathbb{C}P^m$  the distribution of critical points is non-obvious.

## Geometric study of critical points

Model problem: Given a hermitian holomorphic line bundle  $(L, h) \rightarrow M$ , define the *Hermitian Gaussian measure*  $\gamma_h$  to be the Gaussian measure induced by the inner product  $\langle, \rangle_h$ , i.e.

$$\langle s_1, s_2 \rangle = \int_M h(s_1(z), s_2(z)) dV(z).$$

We often take  $dV = \frac{\omega^m}{m!}$ .

Then the distribution  $\mathcal{K}^{crit}(h, \gamma)(z)$  and the number  $\mathcal{N}^{crit}(h, \gamma)$  of critical points w.r.t.  $\nabla_h$  are purely metric invariants of  $(L, h)$ .

How do they depend on  $h$ ?

## Exact formula for $\mathcal{N}^{crit}(h_{FS}, \gamma_{FS})$ on $\mathbb{CP}^1$

**Theorem 5** *The expected number of critical points of a random section  $s_N \in H^0(\mathbb{CP}^1, \mathcal{O}(N))$  (with respect to the Gaussian measure  $\gamma_{FS}$  on  $H^0(\mathbb{CP}^1, \mathcal{O}(N))$  induced from the Fubini-Study metrics on  $\mathcal{O}(N)$  and  $\mathbb{CP}^1$ ) is*

$$\frac{5N^2 - 8N + 4}{3N - 2} = \frac{5}{3}N - \frac{14}{9} + \frac{8}{27}N^{-1} \dots$$

Of course, relative to the flat connection  $d/dz$  the number is  $N - 1$ . Thus, the positive curvature of the Fubini-Study hermitian metric and connection causes sections to oscillate much more than the flat connection. There are  $\frac{N}{3}$  new local maxima and  $\frac{N}{3}$  new saddles.

## Asymptotic expansion for the expected number of critical points

**Theorem 6** *Let  $(L, h)$  be a positive hermitian line bundle. Let  $\mathcal{N}^{\text{crit}}(h^N)$  denote the expected number of critical points of random  $s \in H^0(M, L^N)$  with respect to the Hermitian Gaussian measure. Then,*

$$\begin{aligned} \mathcal{N}(h^N) &= \frac{\pi^m}{m!} \Gamma_m^{\text{crit}} c_1(L)^m N^m \\ &+ \int_M \rho dV_\omega N^{m-1} \\ &+ C_m \int_M \rho^2 dV_\Omega N^{m-2} + O(N^{m-3}). \end{aligned}$$

Here,  $\rho$  is the scalar curvature of  $\omega_h$ , the curvature of  $h$ .

$\Gamma_m^{\text{crit}} c_1(L)^m$  is larger than for a flat connection.

## To what degree is the expected number of critical points a topological invariant?

The first two terms are topological invariants of a positive line bundle, i.e. independent of the metric! (Both are Chern numbers of  $L$ ). But the third term

$$C_m \int_M \rho^2 dV_\Omega N^{m-2}$$

is a non-topological invariant, as long as  $C_m \neq 0$ . It is a multiple of the Calabi functional.

(These calculations are based on the Tian-Yau-Zelditch (and Catlin) expansion of the Szegő kernel and on Zhiqin Lu's calculation of the coefficients in that expansion.)

## Calabi extremal metrics are asymptotic minimizers

As long as  $C_m > 0$ , we see from the expansion

$$\begin{aligned} \mathcal{N}(h^N) &= \frac{\pi^m}{m!} \Gamma_m^{\text{crit}} c_1(L)^m N^m + \int_M \rho dV_\omega N^{m-1} \\ &+ C_m \int_M \rho^2 dV_\Omega N^{m-2} + O(N^{m-3}). \end{aligned}$$

that Calabi extremal metrics asymptotically minimize the metric invariant = average number of critical points. Indeed, they minimize the third term.

We have proved  $C_m > 0$  in dimensions  $m \leq 5$ . We conjecture  $C_m > 0$  in all dimensions. Ben Baugher has a new formula for  $C_m$  which makes this almost certain.

## Summing up

1. Counting candidate universes in string theory amounts to counting critical points of integral superpotentials, which form a lattice in the hyperbolic shell  $Q[N] \leq L$ .
2. As  $L \rightarrow \infty$ , this ensemble is well-approximated by Lebesgue measure in the shell, which is dual (Laplace transform) to Gaussian measure.
3. As the degree  $\deg \mathcal{L} = N \rightarrow \infty$ , we understand the geometry of the distribution of critical points of Gaussian random sections or the dual Lebesgue one.

## Open problems

1. For fixed  $X$ , the asymptotic number of vacua is  $L^{b_3}$ . Typically,  $b_3 \simeq 100$  and  $L \sim 100$ , so this gives  $100^{100}$  vacua. Make effective results in the lattice point problem for the actual tadpole number  $L$ .
2. How many consistent with the cosmological constant (a very small  $> 0$  number)? Or with known mass matrix?
3. How are critical points correlated? Generalize results of Bleher-Shiffman-Z on zeros of  $m$  independent random sections to critical points. Conjecture: when  $\dim \mathcal{M} \geq 3$ , the critical points cluster. They repel when  $\dim \mathcal{M} = 1$ . Compare to computer graphics of Denef-Douglas.